

HORIZ (**) N 2020

DG GROW - Internal Market, Industry Entrepreneurship and SMEs GROW/I1 - Space Policy and Research Unit mats.ljungqvist@ec.europa.eu London - 5 July 2016

Summary



- 1. Space in Union Research Framework Programmes
- 2. Space Programmes in Multiannual Financial Framework 2014-2020
- 3. Space research in Horizon 2020
- 4. Horizon 2020 Space Work Programme 2017
- 5. Additional information



1 - Space in Union Research Framework Programmes



Space in Union FPs

FP6

2002-2006

€ 17.5 billion



€ 0.240 billion

Part of the
"Aeronautics and **Space**"
thematic area –
Focusing and Integrating
European Research

FP7

2007-2013

€ 53.3 billion



€ 1.900 billion

Space theme, and part of the **Transport** theme – *Cooperation* Specific Programme

H2020

2014-2020

€ 79 billion



€ 1.479 billion

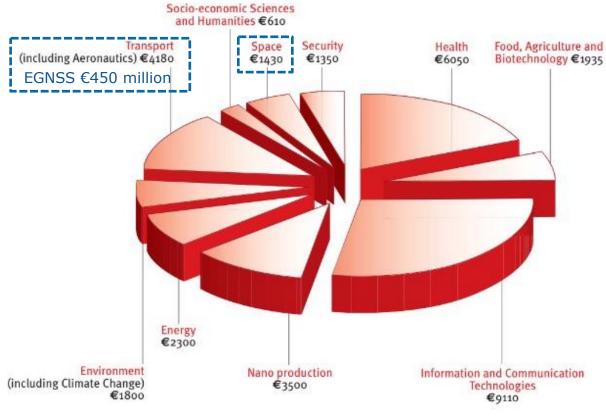
Leadership in Enabling Industrial Technologies (LEIT) – **Space**

NB: Approximate figures presented above are expressed in different economic conditions.

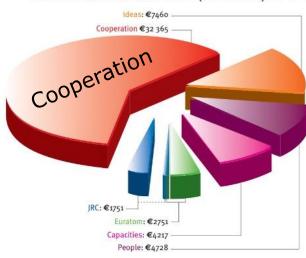


Space in FP7

The Cooperation Programme breakdown (€ million)



The indicative breakdown (€ million) of FP7



Space in FP7: € 1.9 billion including:

- € 565 million: GMES Space Component (implemented by ESA)
- € 450 million: EGNSS from **Transport** theme

NB: Approximate figures presented above are expressed in 2007 economic conditions.





FP7 space-related themes building blocks

Transport theme

Satellite
Navigation
(Galileo and EGNOS)

Applications

EGNSS infrastructure

Space theme

GMES (Copernicus)

Space component

Services

Downstream

Strengthening Space Foundations

Space technologies

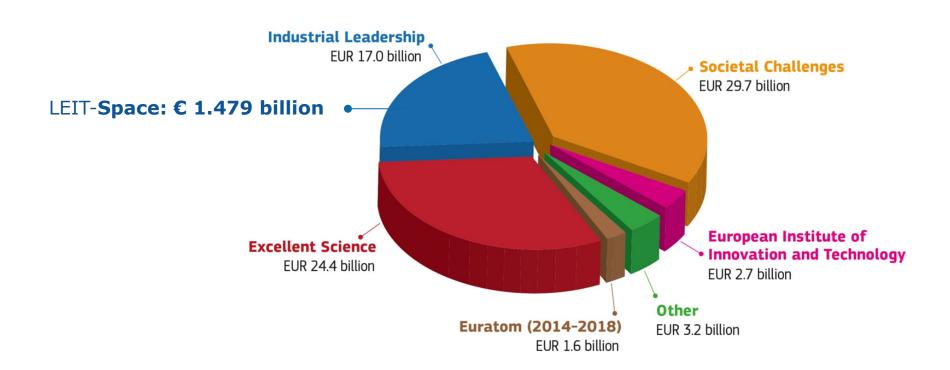
Space science and exploration

Space Weather, Space Debris, Near Earth objects



Space in H2020

HORIZON 2020 BUDGET (in current prices): € 79 billion





Horizon 2020 space building blocks

Satellite
Navigation
(Galileo and EGNOS)

Earth
Observation
(Copernicus)

Competitiveness of the European Space sector

Protection of the European Space Assets

Applications

Applications

Technologies for European nondependence and competitiveness

Space Surveilance and Tracking

Space Weather,

EGNSS evolution

Data

Independent access to space

Space Debris, Near Earth objects

Copernicus evolution

Space Science and Exploration

Bottom-up engagement of SMEs in space R&D (SME Instrument)

Fast Track to Innovation pilot

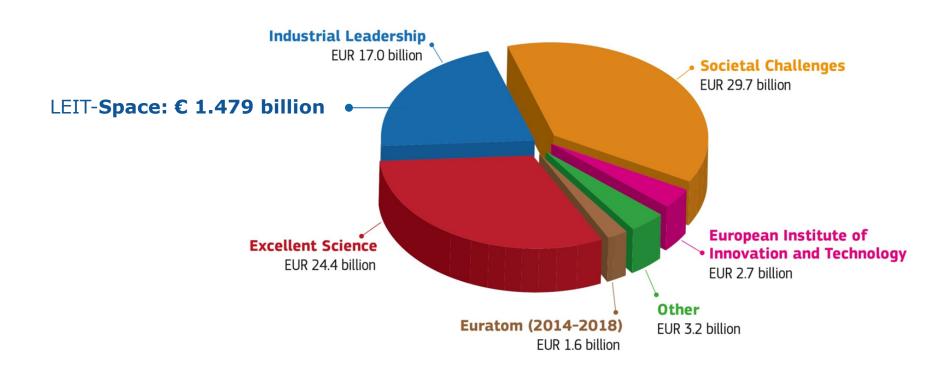


3 - Space research in Horizon 2020



Space in H2020

HORIZON 2020 BUDGET (in current prices): € 79 billion



Horizon 2020 Priorities





Priority 1 – **Excellent science**

- European Research Council (ERC)
- Future and Emerging Technologies (FET)
- Marie Sklodowska-Curie Actions
- Research Infrastructures

Why?

- World class science is the foundation of tomorrow's technologies, jobs and wellbeing
- Europe needs to develop, attract and retain research talent
- Researchers need access to the best infrastructures

Horizon 2020 Priorities





Priority 2 - Industrial leadership

- Leadership in enabling and industrial technologies (LEIT)
 - Information and Communication Technologies (ICT)
 - Nanotechnologies
 - Biotechnology
 - Advanced manufacturing and Processing
 - Space
- Access to risk finance
- Innovation in SMEs

Why?

- Strategic investments in key technologies(e.g. advanced manufacturing, microelectronics) underpin innovation across existing and emerging sectors
- Europe needs to attract more private investment in research and innovation
- Europe needs more innovative small and medium-sized enterprises (SMEs) to create growth and jobs

Horizon 2020 Priorities





Priority 3 – **Societal Challenges**

- SC1 Health, demographic change and well-being
- SC2 Food security, sustainable agriculture and forestry, Marine,
 Maritime and Inland water research, and Bioeconomy
- SC3 Secure, clean and efficient energy
- SC4 Smart, green and integrated transport
- SC5 Climate action, Environment, Resource efficiency and Raw materials
- SC6 Europe in a changing world Inclusive, Innovative and Reflective societies
- SC7 Secure societies Protecting freedom and Security of Europe and its citizens

Why?

- Concerns of citizens and society/EU policy objectives (climate, environment, energy, transport, etc)
 cannot be achieved without innovation
- Breakthrough solutions come from multi-disciplinary collaborations, including social sciences & humanities
- Promising solutions need to be tested, demonstrated and scaled up

H2020 Space Specific Programme



- Enabling European competitiveness, non-dependence and innovation of the European space sector
 - ✓ Safeguard and further develop a competitive, sustainable and entrepreneurial space industry and research community and strengthen European non-dependence in space systems
 - ✓ Boost innovation between space and non-space sectors
- Enabling advances in space technologies
- Enabling the exploitation of space data
- Enabling European research in support of international space partnerships
- The application of space technologies shall be supported through the respective specific objectives of the priority "Societal challenges", where appropriate

For more information please consult Council Decision of 3 December 2013, OJ L 347/993.

H2020 Space building blocks



Satellite Navigation (Galileo and EGNOS)

Earth
Observation
(Copernicus)

Competitiveness of the European Space sector

Protection of the European Space Assets

Applications

EGNSS evolution

Applications

Data

Copernicus evolution

Technologies for European nondependence and competitiveness

Independent access to space

Space Science and Exploration

Space Surveilance and Tracking

Space Weather, Space Debris, Near Earth objects

Bottom-up engagement of SMEs in space R&D (SME Instrument)

Fast Track to Innovation pilot

H2020 Space Calls for proposals



2014-2015 work programme published in 10 December 2013

2014 call: call closed, grants signed, projects launched in January 2015

2015 call: call closed, grants signed, projects launched in January 2016

2016-2017 work programme published on 10 November 2015 (to be updated in July 2016)

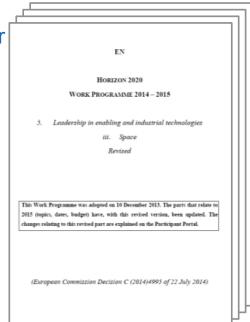
2016 call call closed (deadline 3 March 2016), projects under evaluation

2017 call deadline 1 March 2017

Work Programme (part 05iii LEIT-Space) is available at the H2020 participant portal: http://ec.europa.eu/research/participants/portal/

The associated guidance documents are available at:

http://ec.europa.eu/growth/sectors/space/research/horizon-2020/



WP 2016-2017 Implementation calendar



Calls	Opening dates	Deadlines
EO-2016 COMPET-2016	10 November 2015	3 March 2016
GALILEO-2017 EO-2017 COMPET-2017	8 November 2016	1 March 2017

Work Programme implementation



EU agencies involved

- Research Executive Agency (REA)
- European GNSS Agency (GSA)
- Executive Agency for SMEs (EASME)

TASKS

Call handling, receipt of proposals, evaluation process, grant agreement preparation, grant agreements signature, receipt of reporting, reviews, payments, audits...

Types of actions and Funding rates



- Research and innovation actions (Funding rate: 100%): Projects aiming to establish new knowledge, new or improved technology by possibly including basic and applied research, technology development, testing and validation on a small-scale prototype.
- Innovation actions (Funding rate: 70% exception: 100% for non-profit legal entities): Projects aiming to produce plans, arrangements or designs for a new or improved product, design, process or service by possibly including large-scale product validation and market replication.
- Coordination and support actions (Funding rate: 100%): Projects
 consisting of accompanying/complementrary measures (standardisation,
 awareness-raising, communication, policy dialogues, networking, studies,
 etc.)

Full detailed description can be found in the **General Annexes 20 – part D** of the Work Programme 2016-2017:

http://ec.europa.eu/research/participants/data/ref/h2020/other/wp/2016-2017/annexes/h2020-wp1617-annex-ga_en.pdf



4 - Horizon 2020 Space Work Programme 2017

Horizon 2020 Space WP 2017 structure



EGNSS

Galileo & EGNOS applications and infrastructure

EO

Earth Observation applications and services

COMPET

Competitiveness of the European Space sector: Tecnology and Science

(incl. Space Weather)

SST

Space Surveillance and Tracking support framework

Calls for proposals:

EGNSS applications

Other actions:

 Evolution of EGNSS infrastucture, mission and services

Calls for proposals:

- EO downstream applications
- EO "big data" shift
- Preparation for a European capacity to monitor CO2 anthropogenic emissions

Calls for proposals:

- Critical space technologies
- Strategic research clusters
- EO & SatCom technologies
- Science and Exploration
- Space Weather
- Space Portal
- Technology transfer

Other actions:

- ESA Engineering support
- Horizon prize on low-cost access to space

Other actions:

- Contribution to the SST support framework
- Improving the performance of SST at European level

SME Instrument

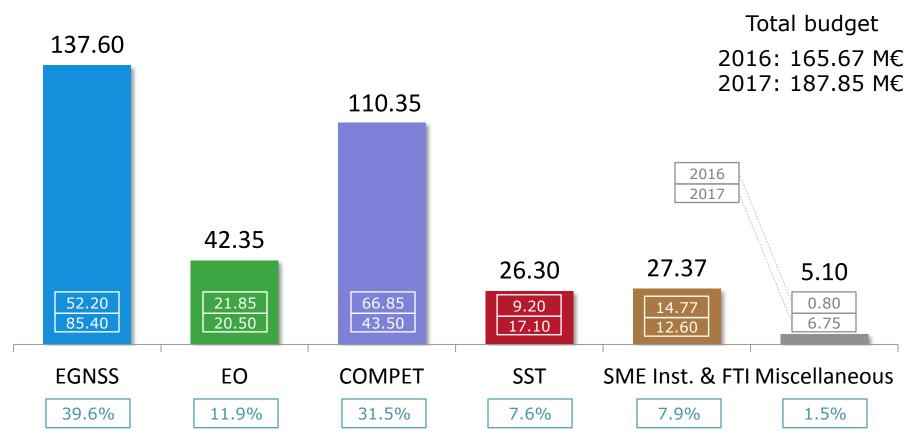
Fast Track to Innovation 'pilot'

WP 2016-2017 Indicative budget



LEIT-Space 2016-2017 WP indicative budget (figures in M€)

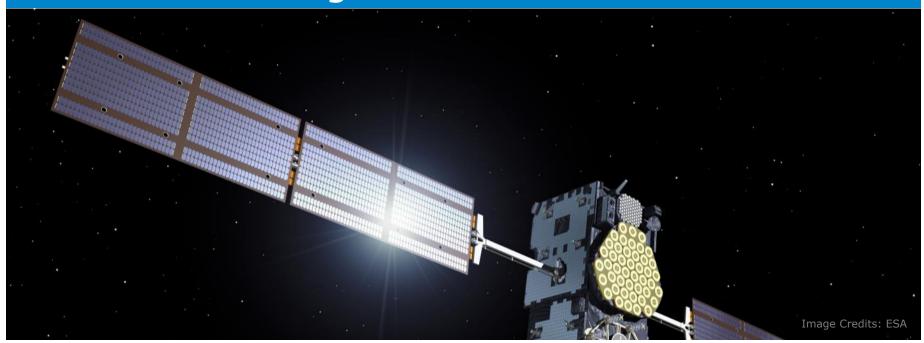
Calls for proposals + "Other actions"





2017 call topics

Satellite Navigation – Galileo and EGNOS



Deadline: 1 March 2017

Satellite Navigation Galileo and EGNOS



Satellite Navigation – Galileo and EGNOS: summary

WP 2016	
Other actions	Indicative budget (M€)
Activity 1 - Galileo Evolution, Mission and Service related R&D activities	3.3
Activity 2 - EGNOS, Mission and Service related R&D activities	0.9
Activity 4 - GNSS evolution, infrastructure-related R&D activities	48.0

WP 2017	
Call for proposals	Indicative budget (M€)
GALILEO-1-2017: EGNSS Transport applications	14.5
GALILEO-2-2017: EGNSS mass market applications	9.0
GALILEO-3-2017: EGNSS professional applications	8.0
GALILEO-4-2017: EGNSS awareness raising and capacity building	1.5
Total GALILEO-2017	33.0
Other actions	Indicative budget (€ million)
Activity 12 - GNSS Evolution, Mission and Services related R&D activities	3.2
Activity 13 - EGNOS, Mission and Service related R&D activities	0.7
Activity 15 – GNSS evolution, infrastructure- related R&D activities	48.5



2017 call topics

Earth observation



Deadline: 1 March 2017



EO-1-2017

Downstream applications

Proposals may address a wide variety of applications stemming from the use of Earth observation and its smart integration with other related technologies...

The outcome of this innovation project should be a commercial service platform, sustained by a production process capable to deliver to the user a product which is validated and accepted as a marketable product...

Corresponding validations and customisations are to be undertaken, and the business case for the application is to be demonstrated...

The choice of EO application is left to the proposer...

Reccomended project size Indicative budget Type of action

1 to 2 M€

12 M€

Innovation Actions



EO-2-2017

EO Big Data Shift

Activities are expected to address the adaptation of big data technologies to Copernicus user scenarios ...

Activities should include the development of tools ... should address any relevant aspect of the data lifecycle which can solve EO big data challenges ...(e.g. collection, processing including online processing, quality control, documentation, dissemination, cataloguing, preservation, usage tracking, integration) and usage activities (e.g. discovery, analysis (including visual), product generation, user feedback, tagging, knowledge extraction, decision making)...

Participation of industry, in particular SMEs, is encouraged.

Reccomended project size Indicative budget Type of action

1 to 2 M€

6.5 M€

Research and Innovation Actions

Topic updated



EO-3-2017

Preparation for a European capacity to monitor CO2 anthropogenic emissions

Activities will encompass the coordination of ongoing efforts, include mutual identification of research and infrastructural gaps, and facilitate a cooperation of further research and development to be undertaken to reach sufficiently mature capacities for an operational integration as a subsequent step. The areas needing attention are:

- 1. Reconciling Top down and bottom up estimates
- 2. Library of simulations for emissions and atmospheric transport
- 3. Uncertainty trade-off for fossil fuel emissions
- 4. Attributing CO₂ emissions from in-situ measurements

Reccomended project size Indicative budget Type of action

1 Project

3,5 M€

Coordination and support action

New Topic



Earth observation calls for proposals: summary

'Space' WP 2016/2017		
	2016	2017
Call for proposals	Indicative budget (M€)	Indicative budget (M€)
EO-1-2016/2017: Downstream applications	9.85	12.0
EO-2-2016: Downstream services for public authorities	3.0	-
EO-3-2016: Evolution of Copernicus services	9.0	-
EO-2-2017: EO Big Data Shift	-	6.5
EO-3-2017: Preparation for a European capacity to monitor CO2 anthropogenic emissions		3.5
Sub-total EO-2016/2017	21.85	22.0
COMPET-2-2017: Competitiveness in Earth observation mission technologies		7.0
Total EO related 'Space' (2016/2017)	21.85	29.0

Related Earth Observation activities



Societal Challenge 2

Blue Growth - demonstrating an ocean of opportunities (H2020-BG-2016-2017):

- BG-9-2016: An integrated Arctic observing system
- BG-12-2016: Towards an integrated Mediterranean Sea Observing System

Sustainable Food Security – resilient agri-food chains (H2020-SFS-2016-2017):

 SFS-43-2017: Earth Observation services for the monitoring of agricultural production in Africa

Societal Challenge 5

Climate Action, Environment, Resource Efficiency and Raw Materials – Earth Observation (H2020-SC5-2016-2017):

- SC5-18-2017 Novel in-situ observation systems
- SC5-19-2017 Coordination of citizens' observatories initiatives
- SC5-20-2016 European data hub of the GEOSS information system

SME Instrument (H2020-SMEInst-2016-2017), although not dedicated uniquely to Earth Observation, is particularly well suited for SMEs addressing space based applications

- SMEInst-04-2016-2017: Engaging SMEs in space research and development
- SMEInst-12-2016-2017: Boosting the potential of small businesses in the areas and priorities of Societal Challenge 5

Related Earth Observation activities



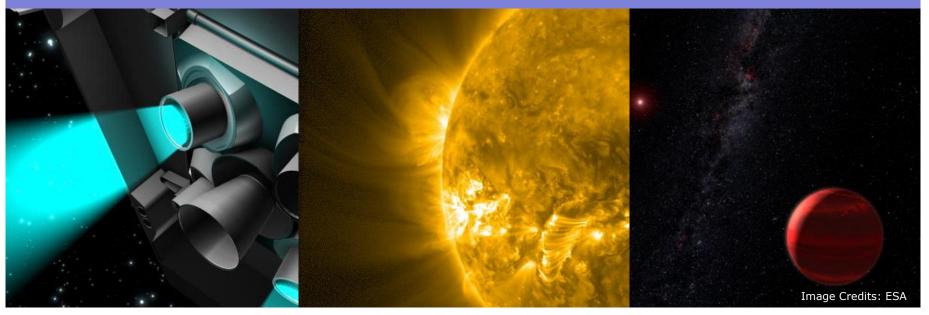
'SC2' + 'SC5' WP 2016/2017		
	2016/2017	
Societal Challenge 2: Blue Growth – demonstrating an ocean of opportunities (H2020-BG-2016-2017)	Indicative budget (M€)	
BG-9-2016: An integrated Arctic observing system (RIA)	15.0	
BG-12-2016: Towards an integrated Mediterranean Sea Observing System (RIA)	8.0	
Societal Challenge 2: Sustainable Food Security – resilient agri-food chains (H2020-SFS-2016-2017)	Indicative budget (M€)	
SFS-43-2017: Earth Observation services for the monitoring of agricultural production in Africa (RIA)	10.0	
Societal Challenge 5: Earth Observation (H2020-SC5-2016-2017)	Indicative budget (M€)	
SC5-18-2017 - Novel in-situ observation systems (RIA)	15.0	
SC5-19-2017 - Coordination of citizens' observatories initiatives (CSA)	4.6*	
SC5-20-2016 - European data hub of the GEOSS information system (RIA)	10.0	
Total EO related in SC2 and SC5 (2016/2017)	58.0	

^{*} This amount corresponds to three topics, among which SC5-19-2017 (not included in the total).



2017 call topics

Competitiveness of the European Space Sector Technology and Science



Deadline: 1 March 2017

Competitiveness of European Space Sector



Competitiveness of the European Space Sector – Technology and Science: summary

WP 2016		
Call for proposals	Indicative budget (M€)	
COMPET-1-2016: Technologies for European non-dependence and competitiveness	14.85	
COMPET-2-2016: Maturing Satellite Communication technologies	7.0	
COMPET-3-2016: SRC - In-Space electrical propulsion and station keeping	23.0	
COMPET-4-2016: SRC - Space Robotics Technologies	18.0	
COMPET-5-2017: Scientific instrumentation	3.0	
Total COMPET-2016	65.85	
Other actions	Indicative budget (€ million)	
Activity 7 - Engineering support by ESA	1.0	

WP 2017		
Call for proposals	Indicative budget (M€)	
COMPET-1-2017: Technologies for European non-dependence and competitiveness	15.0	
COMPET-2-2017: Competitiveness in Earth observation mission technologies	7.0	
COMPET-3-2017: High speed data chain	10.0	
COMPET-4-2017: Scientific data exploitation	6.0	
COMPET-5-2017: Space Weather	3.0	
COMPET-5-2017: Space portal	0.5	
COMPET-6-2017: Technology transfer and business generators	2.0	
Total COMPET-2017	43.5	
Other actions	Indicative budget (€ million)	
Activity 11 - Horizon Prize for low cost access to space (4M€ prize from 2020 budget)	-	
Activity 18 - Engineering support by ESA	1.0	



Space Surveillance and Tracking



2017 Other actions

Space Surveillance and Tracking



Space Surveillance and Tracking: summary

WP 2016		
Other actions	Indicative budget (€ million)	
Activity 5 - Framework Partnership Agreement on the SST Support Framework	-	
Activity 6 – SST contribution to the support Framework	1.2	
Activity 7 - Improving the Performances of the SST at European Level	8.0	

WP 2017	
Other actions	Indicative budget (€ million)
Activity 16 - SST contribution to the support Framework	1.6
Activity 17 - Improving the Performances of the SST at European Level	15.0





SME Instrument



SME Instrument phases

PHASE1

Concept & Feasibility Assessment Idea to concept (6 months)

The SME will draft an initial business proposal.

The European Union will provide €50 000 in funding and business coaching.

PHASE2

Demonstration, Market Replication, R&D Concept to Market-Maturity (1-2 years)

The SME will further develop its proposal through innovation activities, and draft a more developed business plan.

The EU may contribute between

€0.5 million and €2.5 million*

and provide business coaching.

PHASE3

Commercialisation
Prepare for Market Launch

The SME will receive extensive support to help polish its concept into a marketable product, and have access to **networking opportunities**.

The EU will not provide funding in this phase.

SME Instrument and Fast Track to Innovation



SME Instrument and Fast Track to Innovation: summary

WP 2016/2017		
	2016	2017
Call for proposals	Indicative budget (€ million)	Indicative budget (€ million)
SMEInst-04-2016-2017: Engaging SMEs in space research and development	11.37	12.60
Fast Track to Innovation	3.40	-

Fast Track to Innovation



Fast Track to Innovation Pilot

THE ULTIMATE BOOST FOR OUTSTANDING BUSINESS INNOVATORS WITH A NEED FOR SPEED...

PREPARE YOUR PROPOSAL

Build your industry-intensive consortium* minimum 3 partners - maximum 5 partners (all based in the EU and / or in Horizon 2020) associated countries)



Outstanding Business Innovation Concept (high-readiness level / TRL 6, meaning demonstrated in a relevant environment)

Continuous open call until end 2016

DEVELOP YOUR INNOVATION

Receive an EU grant of EUR 1 million to 3 million (70% of funding, 100% of funding for non-profit entities)

From Mature R&D Demonstration Market-Oriented

HIT THE MARKET!





Market-ready result (finished product, service, process/ TRL 9)

6 months time-to-grant

12-24 months for implementation

At most 36 months from grant to market

In a consortium with 3 or 4 partners, at least 2 should be industry, and in a consortium with 5 partners, at least 3; alternatively at least 60% of the project budget should be allocated to industry (i.e. private for profit entities)

AND EAGER TO COMPETE ON GLOBAL MARKETS...!

ACTIVITIES SUPPORTED

Systems validation in real working conditions - Testing - Piloting - Business model validation - Standard setting - Pre-normative research - EU quality label

SME Instrument and Fast Track to Innovation



SME Instrument and Fast Track to Innovation: summary

WP 2016/2017		
	2016	2017
Call for proposals	Indicative budget (€ million)	Indicative budget (€ million)
SMEInst-04-2016-2017: Engaging SMEs in space research and development	11.37	12.60
Fast Track to Innovation	3.40	-

RTD Projects in FP7/Space



999 PROPOSALS submitted in 6 CALLS

262 PROJECTS funded by EC with ~ 662 M€



Further information available in http://ec.europa.eu/growth/sectors/space/research/fp7/index_en.htm

RTD Projects in FP7/Space



Example of a fact file with project information

Monitoring, Analyzing and Assessing Radiation Belt Loss and



MAARBLE aims at shading light on the ways the dynamic evolution of the Earth's radiation belt is influenced by ultra low frequency electromagnetic waves in geospace.

UNDERSTANDING THE DYNAMICS OF Earth'S RADIA-TION BELTS

shaped regions encircling the Earth, in which standing of radiation belt dynamics, with distinct high-energy charged particles are trapped by the merits for robotic and manned space exploration evance to any human endeavour in space, as it this end, the project foresees: has direct impacts on spacecraft as well as on humans in space.

Although the radiation belts were discovered in trop radiation belts: the early years of the space era by the Explorer satellites, we still have no complete understand- » the creation of a database of ultra low and very project employs spacecraft monitoring of the getion belts; ospace environment, complemented by groundbased magnetometer monitoring, in order to » the development of a statistical model of raanalyze and assess the physical mechanisms diation belts' relevant wave activity. leading to radiation belt particle energization and loss. Particular attention is paid to the role of ultra low frequency electromagnetic waves, which are known to play a crucial role in the efficient energization of particles.

The Van Allen radiation belts are two torus- MAARBLE will contribute to the scientific undergeomagnetic field. Radiation belt variability is of and for the establishment of a European space outstanding scientific interest and is also of rel- weather monitoring and forecasting capability. To

> » the use of data assimilation techniques to guide the best estimate of the state of the elec-

ing of radiation belt dynamics. The MAARBLE low frequency (ULF and VLF) waves in the radia-



Project Coordinator

MAARBLE

Monitoring, Analyzing and Assessing Radiation Belt Loss and

LIST OF PARTNERS

- National Observatory of Athens, Greece
- · Office National d'Etudes et de Recherches Aérospatiales, France
- Institutet for Rymdfysik, Sweden
- Ústav fyziky atmosféry AV ČR, v.v.i., Czech Republic
- · Natural Environment Research Council, United Kingdom
- · University of Alberta, Canada
- · University of California, Los Angeles, USA

National Observatory of Athens, Greece

CONTACT

Ioannis A. DAGLIS Tel: +30 210 8109185 Fmail: danlis@noa.or

PROJECT INFORMATION

Monitoring, Analyzing and Assessing Radiation Belt Loss and Energization (MAARRIE)

Contract Nº: 284520 Starting Date: 01/01/2012 Duration: 36 months EU Contribution: € 1.995.042,90 Estimated total cost: € 2.845.504.37







HORIZON 2020

Thank you for your attention

Space research and guidance documents

http://ec.europa.eu/growth/sectors/space/research/horizon-2020/

Published work programme 2016-2017

http://ec.europa.eu/research/participants/data/ref/h2020/wp/2016 2017/main/h2020wp1617-leit-space en.pdf